

UNCP OSRP **Financial Interest Affecting Research (FIAR) form.**

POL 05.45.01 - Policy on Conflicts of Commitment and Interest Affecting University Employment

POL 05.45.01.1.2: It is the policy of The University of North Carolina at Pembroke that activities undertaken by its faculty, staff and students in furtherance of the mission of the university shall be conducted in an ethical and transparent manner consistent with federal and state law and university policy. The Conflicts of Commitment and Interest Affecting University Employment Policy is in compliance with the U.S. Public Health Service Financial Conflicts of Interest (FCOI) Revised Final Rule, Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought, which was issued August 25, 2011 (42 CFR Part 50 Subpart F).

05.45.01.6.10.1.i, ii, & iii:

i. The principal investigator in charge of a research grant or contract is responsible for ensuring that any potential conflict of interest related to his/her research for any member of his/her work group is reported and managed as required by this policy. Therefore, all investigators, including the principal investigator, co-principal investigator, and any other individual responsible for the design, conduct, or reporting of the proposed or funded research activities must disclose any conflict of interest that would reasonably appear to be related to and potentially affect the proposed or funded research activities.

ii. The disclosure of potential conflicts of interest is made by EPA employees at the time of the submission of the research proposal by means of the Office of Sponsored Research and Programs' Proposal Approval Routing Form (PARF). This required form contains the chair's and the dean's signatures indicating approval prior to submission. If a financial interest is indicated on the PARF, the employee must also complete a Financial Interests Affecting Research (FIAR) form which must also be signed by the chair and dean. On the FIAR form, the employee must disclose all financial interests (excepting the excluded categories provided in section 3.12) held by the employee, his/her spouse, and/or children over the previous 12-month period that could affect the proposed research, along with the dollar value of the financial interest(s).

iii. These disclosure requirements also apply to university investigators whose research is funded by internal university funds. Such investigators are responsible for promptly disclosing any financial conflicts of interest via the FIAR form to their chair and dean before starting the research project, who shall then forward the disclosure to the COI Committee.

Investigator Name: _____

Granting Agency: _____

Grant Award #: _____

___ Initial Disclosure (please attach copy of CITI certification

___ Annual Disclosure

Currently, or during the term of this research study, do you or any member of your family have or expect to have:

a. A personal financial interest in or personal financial relationship (including gifts of cash or in-kind) with the sponsor of this study? Yes No

b. A personal financial interest in or personal financial relationship (including gifts of cash or in-kind) with an entity that owns or has the right to commercialize a product, process or technology studied in this project? Yes No

c. A board membership of any kind or an executive position (paid or unpaid) with the sponsor of this study or with an entity that owns or has the right to commercialize a product, process or technology studied in this project?

Yes No

d. A personal financial interest in or personal financial relationship (including gifts of cash or in-kind) with the subcontractor/consultant for this project. Yes No

Has the University or has a University-related foundation received a cash or in-kind gift from the Sponsor of this study for the use or benefit of any member of the research team? Yes No

Has the University or has a University-related foundation received a cash or in-kind gift for the use or benefit of any member of the research team from an entity that owns or has the right to commercialize a product, process or technology studied in this project? Yes No

Signature: _____ Date _____